

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

NOV 26 2003

Patent & Trademark Office
Applicant : Hisashi Ohtani Art Unit : 2815
Serial No. : 09/389,393 Examiner : Bradley Baumeister
Filed : September 3, 1999
Title : SEMICONDUCTOR DEVICE HAVING INSULATED GATE ELECTRODE

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

REQUEST FOR INITIALED PTO FORM 1449

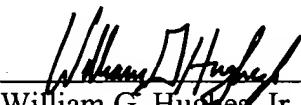
Upon reviewing the file, applicants noted that they have not received an initialed copy of the enclosed PTO Forms 1449 and 892 that accompanied an information disclosure statement filed September 3, 1999.

Applicants' records show that this information disclosure statement complied with 37 CFR 1.97. For the Examiner's convenience, all of the references identified on the three PTO-892 forms and the one PTO-1449 form have been combined in one document (identified as Appendix A). Thus, it is respectfully requested that the examiner initial and return the forms as originally submitted or, in the alternative, initial and return the revised PTO-1449 as soon as possible.

The examiner's prompt attention to this matter would be greatly appreciated.

Respectfully submitted,

Date: November 3, 2003



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TC 2800 MAIL ROOM

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Notice of References Cited

Application No. 08/976,739	Applicant(s) OHTANI
Examiner William Baumeister	Group Art Unit 2815

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U.S. PATENT DOCUMENTS

	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS
A	5,272,100	12/21/93	Satoh et al.	438	305
B	5,308,998	5/3/94	Yamazaki et al.	257	72
C	5,422,505	6/6/95	Shirai	257	401
D	5,481,121	1/2/96	Zhang et al	257	72
E	5,619,045	4/8/97	Konuma et al.	257	72
F	5,668,032	9/16/97	Holmberg et al.	257	59
G	5,741,736	4/21/98	Orlowski et al.	438	286
H					
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FOREIGN PATENT DOCUMENTS

	DOCUMENT NO.	DATE	COUNTRY	NAME	CLASS	SUBCLASS
N						
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NON-PATENT DOCUMENTS

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Notice of References Cited

Application No. 08/976,739	Applicant(s) OHTANI
Examiner William Baumeister	Group Art Unit 2815

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	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS
A	5,742,363	4/21/98	Bae	249	48
B	5,670,795	9/23/97	Ikeda	257	72
C	5,580,800	12/3/96	Zhang et al.	437	40
D	5,430,328	7/4/95	Lee	257	412
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	DOCUMENT NO.	DATE	COUNTRY	NAME	CLASS	SUBCLASS
N	JP-406275641A	8/30/94	Japan	Semiconductor Energy Lab	H01L21	336
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NON-PATENT DOCUMENTS

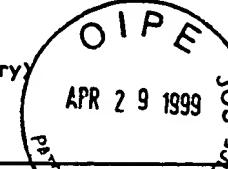
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Notice of References Cited			Application No.	Applicant(s)			
			08/976,739	ONTANI, HISAKI			
			Examiner BILL BAUMEISTER	Group Art Unit 2815	Page <u>1</u> of <u>1</u>		
U.S. PATENT DOCUMENTS							
*	DOCUMENT NO.	DATE	NAME			CLASS	SUBCLASS
A	131,216	3/24/98	NOLMBERG et al			437	40
B	5,643,817	7/1/97	KIM et al			437	51
C	5,612,234	3/18/97	Na			437	40
D	5,604,137	2/18/97	YAMAZAKI et al			437	40
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* A copy of this reference is not being furnished with this Office action.
 (See Manual of Patent Examining Procedure, Section 707.05(a).)

ATTY. DOCKET
07977/204001/US3480SERIAL NO.
08/976,739INFORMATION DISCLOSURE
STATEMENT BY APPLICANT
(Use several sheets if necessary)

(37 CFR 1.98(b))

APPLICANT:
Hisashi OhtaniFILING DATE
November 24, 1997GROUP
2815

PATENT DOCUMENTS

EXAMINER INITIALS	PATENT NUMBER							ISSUE DATE	PATENTEE		CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
BSWB	AA	5	5	1	8	8	0	5	5/21/96	Ho et al.			
BWB	AB	5	1	7	7	5	7	7	1/5/93	Taniguchi et al.			
BWB	AC	5	5	1	8	9	3	6	5/21/96	Yamamoto et al.			
AD													
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AJ													
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FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

	DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
AL							
AM							
AN							
AO							
AP							

OTHER DOCUMENTS (including Author, Title, Date, Place of Publication)

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AR	
AS	

EXAMINER *Bon Baum*

DATE CONSIDERED

5/18/99

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.